

**TRANSMISSION REQUEST FORM**  
(In case of death of the sole holder)

|                 |  |      |   |   |   |   |   |   |   |   |
|-----------------|--|------|---|---|---|---|---|---|---|---|
| Application No. |  | Date | D | D | M | M | Y | Y | Y | Y |
|-----------------|--|------|---|---|---|---|---|---|---|---|

(Please fill all the details in **Block Letters** in English)

To,  
**Depository Participant Name**  
**Address**

Dear Sir / Madam,

**PART – I :** (where nomination is recorded)

I/we, Nominee(s) / Successor/ Guardian of the successor or nominee(s) (in case of Minor) request you to transmit the following securities due to the death of the sole account holder. Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

Name of the deceased BO:

Account Number of the deceased BO:

|       |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |  |
|-------|--|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|--|
| DP ID |  |  |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |  |
|-------|--|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|--|

Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below.

Details of the Successor (s)

| Sr. No | Name of the Successor (s) | DP ID | Client ID |
|--------|---------------------------|-------|-----------|
|        |                           |       |           |
|        |                           |       |           |
|        |                           |       |           |

| Details of Transmission |                      |      |  |
|-------------------------|----------------------|------|--|
| Sr. No                  | Name of the Security | ISIN | Quantity of securities to be transmitted |
|                         |                      |      |  |
|                         |                      |      |  |
|                         |                      |      |  |

Attach an annexure duly signed by the Nominee(s)/ Successor / Guardian of the successor or nominee(s) (in case of Minor), if the space above is insufficient.

(Nominees / Successor / Guardian of successor or nominee(s) (in case of Minor)

|           | Nominee(1)<br>Successor/Guardian of<br>successor/Nominee | Nominee(2)<br>Successor/Guardian of<br>successor/Nominee | Nominee(3)<br>Successor/Guardian of<br>successor/Nominee |
|-----------|--|--|--|
| Name      |  |  |  |
| Signature |  |  |  |

**PART – II :** (where nomination is not recorded)

**No Objection Statement from other heirs/successors who are non-applicants**

- I/We, the undersigned, residing at \_\_\_\_\_, am/are legal heir(s) of the said deceased.
- I/We do not desire to make any claim of title of the said securities and have no objection whatsoever in transmitting the said securities in the name(s) of Mr. / Mrs. \_\_\_\_\_ who has/have opened a beneficial owner account(s) under Client ID \_\_\_\_\_ and DP ID \_\_\_\_\_.

3. In consideration of registration of the aforesaid securities in the client account of Mr / Mrs. \_\_\_\_\_ under DP ID \_\_\_\_\_ Client ID \_\_\_\_\_ at my request, I/We hereby renounce all my/our rights existing as well as those that may accrue to me/us in future in respect of the aforesaid securities.

**Signed in the presence of**

\_\_\_\_\_  
**Bank Manager**

\_\_\_\_\_  
**Signature of the legal heir**

**Full Name and Address of Bank Manager:**

Name : \_\_\_\_\_  
 Address : \_\_\_\_\_  
 \_\_\_\_\_

**Note for all legal heirs/successors who are applicants / non-applicants:**

Only one Transmission Request Form is to be submitted by claimants/non-claimants to the DP of the deceased BO for the transmission of securities wherein the intentions of the legal heirs/successors are collectively stipulated.

======(Please tear here)=====

**Application No.**

**Acknowledgement Receipt**

**Date: -**

We hereby acknowledge receipt of the instructions for transmission of securities from the deceased BO's account to the account of the Nominee(s) / Successor / Guardian of the successor or nominee(s) (in case of Minor), as per details given on the transmission form.

Account number of the deceased BO

|       |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|-------|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|
| DP ID |  |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |
|-------|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|

| <b>Successor BO Name(s)</b> |                      |                     |
|-----------------------------|----------------------|---------------------|
| <b>First/Sole Holder</b>    | <b>Second Holder</b> | <b>Third Holder</b> |
|                             |                      |                     |
| Documents Submitted         |                      |                     |

Subject to verification.

**Depository Participants Seal & Signature**