



Bhogilal Trikamlal Securities Pvt. Ltd.

MEMBER: BSE LTD. & NSE LTD.

R-717/721, Rotunda Bldg., B. S. Marg, Fort, Mumbai - 400 023. || Tel.: 2272 1907 • Fax: 2272 2966

Dealing Room Policy

- 1] Dealers only with access to the dealing room shall be permitted to enter between 8:45 am to 4:00 pm.
- 2] Dealers shall not divulge or disclose any information of any trades executed/ or being executed to anyone. Top most secrecy shall be observed.
- 3] Strict confidentiality and due diligence are observed by the dealers while executing trade for the institutions.
- 4] Dealers shall be allowed to leave the dealing room in case of washroom break/ lunchtime break. If any emergency arises the dealers shall be required to take approval from the Compliance Officer /Principal Officer/Designated Director in writing with appropriate reasons.
- 5] All cellular phones of all dealers shall be kept in a locked drawer during the trading hours and post-closing hours.
- 6] All telephone lines of the dealing room shall be recorded.
- 7] In case rules are not followed by the dealer's matter shall be referred to the Board of Director or the Compliance Committee & necessary actions shall be taken.