



Bhogilal Trikamlal Securities Pvt. Ltd.

MEMBER: BSE LTD. & NSE LTD.

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AML ALERT GENERATION:

- (a) Clients whose identity verification seems difficult or Clients that appear not to cooperate
- (b) Clients where the source of the funds is not clear or not in keeping with Clients apparent standing /business activity;
- (c) Clients based in high-risk jurisdictions;
- (d) Substantial increases in business without apparent cause;
- (e) Clients transferring large sums of money to or from overseas locations with instructions for payment in cash;
- (f) Attempted transfer of investment proceeds to apparently unrelated third parties;
- (g) Unusual transactions undertaken by offshore banks/financial services, businesses reported to be in the nature of export import of small items.